

# **JCWSCS 0 1 MAR 2004**

PAGE 115" RCVD AT 3/1/2004 12:43:46 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-211 \* DMIS;7469195 \* CSID:908 518 7795 \* DURATION (mm-55):01-32

251 North Avenue West, 2nd Floor Westfield, New Jersey 07090

Tel.: (908) 518-7700 Fax: (908) 518-7795

## Mayer Fortkort & Williams, PC **Attorneys At Law**



To:	Offic	Office of Initial Patent Examination Filing		From	Joanne Ryan	
		Receipt Correct	ions			
Fax:	703-746-9195		Pages:	5 including cover		
Phone	<b>:</b>			Date:	3/1/2004	`
Re:	Seri	Serial No. 10/702,314		CC:	[Click here and type name]	
□ Urg	jent	x For Review	☐ Please Cor	nment	☐ Please Reply	☐ Please Recycle

### PRIVACY AND CONFIDENTIALITY NOTICE

The information contained in this communication is confidential and may be legally privileged. It is intended solely for the use of the individual or entity to whom it is addressed and others authorized to receive it. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or taking of any action in reliance on the contents of this information is strictly prohibited.

If you received this communication in error, please immediately notify us by a collect telephone call to the writer and return the original message and documents to us at the above address via the United States postal service.

Please find attached:

Letter requesting correction of Filing Receipt, 2 pp.

Copy of Filing Receipt with correction indicated, 2 pp.



I hereby certify that this correspondence is being filed via facsimile with the Office of Initial Patent Examination Filing Receipt Corrections, facsimile number 703-746-9195.

Date 3/1/04 Sig: Name: Joanne Ryan

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT(S): Richard Carlton Mattison

SERIAL NO.: 10/702,314

FILING DATE: 11/06/2003

TITLE: ELECTROACTIVE POLYMER ACTUATED SHEATH FOR IMPLANTABLE

OR INSERTABLE MEDICAL DEVICE

DOCKET: 03-099

Office of Initial Patent Examination Filing Receipt Corrections Facsimile no.: 703-746-9195

#### LETTER

This is in response to receipt of the filing receipt dated 02/06/2004. Please make the following corrections to the receipt:

Correct the number of Independent Claims to 1.

This correction is indicated on the attached filing receipt copy.

Claim 1 is the only independent claim filed in the application on November 6, 2003.

Mar 1 2004 12:55

It is respectfully requested that a corrected filing receipt be promptly issued that properly reflects the correct number of independent claims.

Respectfully submitted,

Keum J Park Reg. No. 42,059 Mayer, Fortkort & Williams PC 251 North Avenue West, 2<sup>nd</sup> Floor

Westfield, NJ 07090

Fax: (908) 518-7795

Bace 1 of 2 to £2 to £3:46 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-2/1 \* DMIS:7469195 \* CSID:908 518 7795 \* DURATION (mm-ss):01-32



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Officer Address COMMISSIONER FOR PATENTS ON 1450 Alexandra Vinchia 22013-1450

APPL NO. | FILING OR 371 | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS | 10/702,314 | 11/06/2003 | 3731 | 824 | 03-099 | 4 | 23 | X |

**CONFIRMATION NO. 7968** 

27774
MAYER, FORTKORT & WILLIAMS, PC
251 NORTH AVENUE WEST
2ND FLOOR
WESTFIELD, NJ 07090

FILING RECEIPT

\*OC000000011850012\*

Date Mailed: 02/06/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination, Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard Carlton Mattison, Zimmerman, MN;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 02/04/2004

Projected Publication Date: 05/12/2005

Non-Publication Request: No

Early Publication Request: No

Title

Electroactive polymer actuated sheath for implantable or insertable medical device

Preliminary Class

606

## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (i)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).